

## DT Investment Partners, LLC

March 14, 2022

### Customer Relationship Summary Introduction

- DT Investment Partners, LLC is an investment advisor with the Securities and Exchange Commission (“SEC”). We offer investment advisory services and financial planning, rather than brokerage services. Brokerage and investment advisory services and fees differ and it is important for you to understand the differences.
- Free and simple tools are available to research firms and financial professionals at [www.investor.gov/crs](http://www.investor.gov/crs), which also provides educational materials about broker dealers, investment advisors, and investing.

### Relationship and Services

#### ***What investment services and advice can you provide me?***

- We will offer you investment advice on a regular basis. We will discuss your investment goals, design with you a strategy to achieve your investment goals, and regularly monitor your account. We will contact you at least annually by phone, email, mail to discuss your portfolio.
- You can choose an account that allows us to buy and sell investments in your account without asking you in advance (***discretionary account***) or we may give you advice and you decide what investments to buy and sell (***non-discretionary account***). In non-discretionary accounts, you ultimately decide what to buy and sell.
- We can provide you with financial planning
- Our advice is not limited to a particular type of security, for example, we provide advice on equities, fixed income, and cash investments.
- We have \$5,000 minimum investment size for retail clients who wish to establish a relationship with our firm.

*For additional information, please see our Form ADV, Part 2A brochure items 4 and 7*

#### **Conversation Starters: Ask your financial professional:**

Given my financial status, should I choose an investment advisor service? Why or why not?

How will you choose investments to recommend to me?

What is your relevant experience, including your licenses, education, and other qualification? What do these qualifications mean?

### Fees, Costs, Conflicts, and Standard of Conduct

#### ***What fees will I pay?***

- If you open an advisory account, you will pay an on-going asset based fee (as agreed upon in our contract) at the beginning of each quarter for our services. This fee is based on the value of the cash and investments in your advisory account
  - The more assets in your advisory account, including cash, the more you will pay us in fees. We therefore have an incentive to encourage you to increase the assets in your account. You pay a quarterly fee even if there are no buys or sells done in your account
- Our asset-based fee is separate and distinct from all custodial and broker-dealer maintenance and execution fees. Additionally some investments, such as mutual funds and exchange-traded funds, impose internal fees that will reduce the value of your investment over time.
- Our fees vary and are negotiable.
- Our advisory clients receive free financial planning services.

**Additional Information** – You will pay fees and costs whether you make or lose money on your investments. Fees and costs will reduce any amount of money made on your investments over time. Please make sure you understand what fees and costs you are paying.

**Conversation Starters: Ask your financial professional:**

Help me understand how these fees and costs might affect my investment. If I give you \$10,000 to invest, how much will go to fees and costs, and how much will be invested for me?

**Standard of Conduct**

- *When we act as your investment adviser, we have to act in your best interest and not put our interest ahead of yours. At the same time, the way we make money creates some conflicts with your interests. You should understand and ask us about these conflicts because they can affect the investment advice we provide you. Here are some examples to help understand what this means.*
- The more assets there are in your advisory account, the more you will pay in fees, and the firm may therefore have an incentive to encourage you to increase the assets in your account.
- Our firm provides sub-advisory services to our affiliate Quinn Wealth Advisors, LLC. We receive a portion of the fees paid to Quinn Wealth Advisors, LLC. Additionally, we maintain relationships with unaffiliated advisory firms where we manage client accounts as a subadvisor or on a dual-contract basis. We receive a portion of the fees paid to these firms.
- We are a party to solicitation agreements with unaffiliated entities for the introduction of specific client relationships. These arrangements do not represent a conflict of interest to clients as no additional client charges are incurred.
- We are a party to a revenue sharing arrangement with an unaffiliated advisor by which the advisor receives an increase in shared revenue if certain revenue targets are met. This arrangement does not increase the overall client fee or impact the services we provide to any of our clients.

*Please refer to our Form ADV, Part 2A brochure Items 5 and 14 for additional information*

**Conversation Starters: Ask your financial professional:**

How do your conflicts of interest affect me, and how will you address them?

**How do your financial professionals make money?**

- Our financial professionals are typically compensated through a combination of a regular salary and bonus. Salary is tied to the competitive wage for the position and the firm's ability to pay on going compensation. Bonuses are discretionary, and reflect the overall success of the firm and the individual. Some financial professionals may be compensated specifically related to obtaining clients for the firm. Our financial professionals receive no product sales commissions or other forms of payment.

**Disciplinary History**

**Do you or your financial professionals have a legal or disciplinary history?** No, the firm and/or its financial professionals have no reported disclosures

Visit [www.investor.gov/crs](http://www.investor.gov/crs) for a free and simple search tool to research financial professionals.

**Additional Information**

For additional information about our services and to request a copy of this disclosure, you can go to [www.advisorinfo.sec.gov](http://www.advisorinfo.sec.gov) or to our website [www.dtinvestmentpartners.com](http://www.dtinvestmentpartners.com).

You may also contact Stacey Scheb, CCO for additional information phone: 484-778-4425

**Conversation Starters: Ask your financial professional:**

Who is my primary contact person? Is he or she a representative of an investment advisor or broker dealer? Who can I talk to if I have concerns about how this person is treating me?